Diffusion Magnetic Resonance Imaging Part I:Theory & Methods

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Overview

- Today's Lecture
 - Basic Diffusion MRI Physics
 - How do we measure diffusion? What are the assumptions?
 - What factors influence our measures of diffusion?
 - Simulations & Random Walks
 - Anisotropic diffusion & Diffusion Tensor Imaging
 - Mono-, bi-, and stretched-exponential diffusion imaging
 - Diffusion kurtosis imaging (DKI)
 - q-space, q-ball, and diffusion spectral imaging (DSI)



Overview

- Next Time
 - Brief Review
 - Tractography (Deterministic & Probabilistic)
 - Applications
 - Neuro-Oncology
 - Neurotrauma
 - Alzheimer's Disease
 - Parkinson's Disease
 - Epilepsy
 - Chronic Pain



Molecular Translational Dynamics

- The NMR signal is not detected for a single spin, but rather via a coherent superposition of <u>many</u> spins
- As such, we need to adopt an "ensemble-averaged" view in order to understand the behavior of the entire system
- This means taking into account spin movement, whether that be coherent motion (velocity) or incoherent motion (diffusion)
- The motion of a spin *i* can be characterized by some time-dependent displacement r_i(t) relative to an arbitrary origin point





Molecular Translational Dynamics

- Since we are talking about ensembles of spins, it is helpful to talk in terms of statistics and probability of finding a spin at a particular location at a particular time
- The probability of finding that a spin will have displacement r' at time t, given the starting position r is P(r|r', t)
- This is the probability of finding <u>a particular</u> scattering spin positioned at (r', t) if there was a scattering <u>by the same spin</u> at (r,t). [Spin Self-Correlation]
- The total probability of finding a spin at position **r**' at time *t* given an initial position of **r** is $\Psi(\vec{\mathbf{r}},t) = \int \Psi(\mathbf{r},0) P(\mathbf{r} \mid \mathbf{r}',t) d\mathbf{r} \qquad \Psi(\vec{\mathbf{r}} \mid 0) = \mathbf{0}$

 $\Psi(\vec{\mathbf{r}},0) = \rho(\vec{\mathbf{r}})$

Particle Density





Fick's Law of Diffusion

- An application of the function $\Psi(\mathbf{\vec{r}},t)$ is using Fick's Law of Self Diffusion
- Fick's first law of diffusion says "the particle flux (per unit area per unit time) is proportional to the particle concentration gradient."



• By applying the conservation of mass, $\frac{\partial C}{\partial t} = -\nabla \cdot \mathbf{J}$ we arrive at Fick's second law of diffusion:

$$\frac{\partial C}{\partial t} = -\nabla \cdot \mathbf{\bar{J}} = \nabla \cdot (D\nabla C)$$



Fick's Law of Diffusion

• If we start with the initial condition $C(\vec{\mathbf{r}},0) = \delta(\vec{\mathbf{r}}' - \vec{\mathbf{r}})$

Impulse

• We see that (in our experiment) concentration can be written in terms of the probability of a spin at time 0 (or just spin density)

$$C(\mathbf{\bar{r}},0) = \delta(\mathbf{\bar{r}}' - \mathbf{\bar{r}}) = P(\mathbf{\bar{r}} \mid \mathbf{\bar{r}}',0)$$

 And we can rewrite Fick's second law in terms of spin density/concentration as:

$$\frac{\partial}{\partial t} P(\mathbf{\vec{r}} | \mathbf{\vec{r}}', t) = \nabla \cdot (D\nabla P(\mathbf{\vec{r}} | \mathbf{\vec{r}}', t))$$

• Assuming the diffusion coefficient is a constant (doesn't change over space or constant for a single voxel)

$$\frac{\partial}{\partial t} P(\mathbf{\vec{r}} | \mathbf{\vec{r}}', t) = D\nabla^2 P(\mathbf{\vec{r}} | \mathbf{\vec{r}}', t)$$



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Fick's Law of Diffusion for NMR

- Assuming no restricted diffusion (or the boundary conditions: $P(\vec{\mathbf{r}} \mid \vec{\mathbf{r}}', t) \rightarrow 0$ as $\vec{\mathbf{r}}' \rightarrow \infty$)
- The solution becomes:

$$P(\vec{\mathbf{r}} \mid \vec{\mathbf{r}}', t) = \left(\frac{1}{\sqrt{4\pi Dt}}\right)^3 e^{-\frac{(\vec{\mathbf{r}}' - \vec{\mathbf{r}})^2}{4Dt}} \quad \text{Gaussian Distribution}$$

• Note that the solution to this probability relies on $(\vec{r}' - \vec{r})$ and not the initial position. Therefore, defining $\vec{R} = (\vec{r}' - \vec{r})$ yields:

$$P(\vec{\mathbf{R}},t) = \left(\frac{1}{\sqrt{4\pi Dt}}\right)^{3} e^{-\frac{\vec{\mathbf{R}}^{2}}{4Dt}}$$

Time = 0
Time = t
 $\vec{\mathbf{r}}$
 $\vec{\mathbf{r}}$



Fick's Law of Diffusion for NMR

• We can expand this equation in 3-D as:

$$P(\mathbf{\bar{R}},t) = \left(\frac{1}{\sqrt{4\pi Dt}}\right)^3 \cdot e^{-\frac{x^2}{4Dt}} \cdot e^{-\frac{y^2}{4Dt}} \cdot e^{-\frac{z^2}{4Dt}}$$

• If we are only concerned with the diffusion in I-D (say the z-direction), the integration results in

$$P(z,t) = \left(\frac{1}{\sqrt{4\pi Dt}}\right) e^{-\frac{z^2}{4Dt}}$$

• Central limit theorem says that the average displacement must be zero (because no net coherent motion, or velocity, is considered)

$$\langle z \rangle = 0$$

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Fick's Law of Diffusion for NMR

• Therefore, the second moment (variance) of spin displacement can be found as

$$\left\langle z^2 \right\rangle = \int_{-\infty}^{\infty} z^2 P(z,t) dz \left\langle z^2 \right\rangle = 2Dt$$

 Note that this is also the same as the variance in the change of displacements, since we previously assumed z = 0.

$$\left\langle \left(z_2 - z_1 \right)^2 \right\rangle = 2Dt$$
$$\left\langle dz^2 \right\rangle = 2Dt$$



- In order to measure the displacement of spins we must employ motion probing gradients
- In an ideal sense, let's employ an impulse gradient in the z-direction with magnitude $G_z \delta$, which will to phase encoding the spin's initial position:

$$\phi_1 = \gamma G_z \delta z_1$$

• Then, at some time Δ later, we employ a gradient of equal amplitude of opposite polarity:





• The net accumulated phase can be found as:



• The second moment (variance) in phase is thus:

$$\left\langle d\phi^2 \right\rangle = \left(\gamma G_z \delta \right)^2 \left\langle \left(z_1 - z_2 \right)^2 \right\rangle$$



• Substituting the definition for diffusivity found in Fick's second law of diffusion for NMR:

$$\left\langle d\phi^{2} \right\rangle = \left(\gamma G_{z} \delta\right)^{2} \left\langle \left(z_{1} - z_{2}\right)^{2} \right\rangle$$
$$\left\langle \left(z_{2} - z_{1}\right)^{2} \right\rangle = 2Dt \overset{\checkmark}{}^{\Delta}$$
$$\left\langle d\phi^{2} \right\rangle = \left(\gamma G_{z} \delta\right)^{2} 2D\Delta$$
$$\overset{G_{z}\delta}{}^{\Phi} \overset{\Phi}{\longrightarrow} \overset{G_{z}\delta}{\longrightarrow} \overset{G_{z}\delta}{D} = \frac{\left\langle d\phi^{2} \right\rangle}{2\Delta \left(\gamma G_{z} \delta\right)^{2}}$$
The diffusivity, D, can be found as:



• How do we measure
$$\langle d\phi^2 \rangle$$
 ?

- If we could measure all the spins in the system individually, then we could construct the spin PDF and estimate variance
- However, we only obtain a single NMR signal for a voxel representing the ensemble average spin signal
- For intravoxel, incoherent motion only (no flow...i.e. no phase bias), the ensemble average spin density $ho(\phi)$ will occur at $\phi=0$





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 angle = v_z \Delta$





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- If there is coherent motion (flow), the phase accumulation will be $\langle \phi \rangle = v_z \Delta$
- Fortunately, we have an equation to describe the shape of the spin density PDF





• Recall the probability of a spin diffusing a distance z at time t is:

$$P(z,t) = \left(\frac{1}{\sqrt{4\pi Dt}}\right) e^{-\frac{z^2}{4Dt}}$$

• Substituting our definitions for the current experiment:

$$P(z_2 \mid z_1, \Delta) = \left(\frac{1}{\sqrt{4\pi D\Delta}}\right) e^{-\frac{(z_1 - z_2)^2}{4D\Delta}}$$

$$P(\langle \phi \rangle, \Delta) = \left(\frac{1}{\sqrt{4\pi D\Delta}}\right) e^{-\frac{\langle \phi^2 \rangle}{4D\Delta}}$$

Gaussian Distribution



 We see from inspection that the variance in Φ results in attenuation of the NMR signal



 If we think about this in terms of individual spins in an ensemble, the total NMR signal can be estimated by:

$$S(TE,TR,\Delta) = A \cdot \rho \cdot e^{-TE/T2} \cdot (1 - e^{-TR/T1}) \cdot \sum_{n=1}^{N} e^{-j \cdot \phi_n}$$

Coil Factor/Coupling
Spin Density
Spin Density
Phase from Individual Diffusing Spins
with application of
"diffusion sensitizing gradients"

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3.7

- This sum can be evaluated once the net phase distribution is known
- Assuming free diffusion in a homogeneous medium and conditional probability of finding a spin initially at z_1 at z_2 at time Δ :

$$S(TE,TR,\Delta) = A \cdot \rho \cdot e^{-\frac{TE}{T_2}} \cdot \left(1 - e^{-\frac{TR}{T_1}}\right) \cdot \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} P(z_2 \mid z_1,\Delta) e^{j\gamma G_z \delta \cdot (z_1 - z_2)} dz_1 dz_2$$

• For which the conditional probability follows the Gaussian approximation (for free diffusion):

$$P(z_2 \mid z_1, \Delta) = \left(\frac{1}{\sqrt{4\pi D\Delta}}\right) e^{-\frac{(z_1 - z_2)^2}{4D\Delta}}$$

• If we think about this in terms of individual spins in an ensemble, the total NMR signal can be estimated by:

$$S(TE,TR,\Delta) = A \cdot \rho \cdot e^{-\frac{TE}{T^2}} \cdot \left(1 - e^{-\frac{TR}{T^1}}\right) \cdot e^{-(\gamma G_z \delta)^2 D\Delta}$$



The Diffusion Weighted Imaging Experiment

 If we now run our experiment once with the diffusion sensitizing gradients turned on and once without diffusion sensitizing gradients (but same TE, TR, etc)

$$\frac{S(TE,TR,\Delta)}{S(TE,TR)} = \frac{A \cdot \rho \cdot e^{-TE/T_2} \cdot (1 - e^{-TR/T_1}) \cdot e^{-(\gamma G_z \delta)^2 D\Delta}}{A \cdot \rho \cdot e^{-TE/T_2} \cdot (1 - e^{-TR/T_1})} = e^{-(\gamma G_z \delta)^2 D\Delta}$$

$$\frac{S}{S_0} = e^{-(\gamma G_z \delta)^2 D\Delta} = e^{-bD}$$
Signal attenuation with increasing D
where
$$b = (\gamma G_z \delta)^2 \Delta$$

$$\int_{\text{b-value}}^{\text{b-value}} \frac{S}{(\text{Figure of diffusion weighting''}} + S(TE) + S(TE)$$



The Diffusion Weighted Imaging Experiment

• The diffusion coefficient can be estimated by:

$$D = -\frac{1}{b} \ln\left(\frac{S}{S_0}\right)$$

 If two diffusion weighted images is used (different b-values), then diffusivity can be calculated by:

$$D = -\frac{1}{b_2 - b_1} \ln\left(\frac{S_2}{S_1}\right)$$

Or if more than two diffusion weighted images are used, linear regression can be used to fit In(S) vs. b



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Pulsed Gradient Diffusion Experiment

• Recall we previously assumed gradient impulses with amplitude $G_z\delta$, but what happens when we use a pulsed gradient (fixed duration) instead?





Pulsed Gradient Diffusion Experiment

Gz

• The phase accumulation after the first pulse is calculated as:

$$\phi_1 = \gamma \int_0^{\delta} G_z \cdot z_1 \, dt = \gamma G_z \delta z_1$$

• And the phase accumulation after the second pulse is:

$$\phi_2 = \gamma \int_{\Delta}^{\Delta + \delta} G_z \cdot z_2 \, dt = \gamma G_z \delta z_2$$

• Thus, the net phase accumulation is <u>the same as the impulse case</u>

$$d\phi = \gamma G_z \delta(z_1 - z_2)$$

• This is will work for $\,\delta\!\ll\!\Delta$





The Bloch-Torrey Equations

• Formally, we can express the total magnetization in terms of intravoxel incoherent motion (diffusion) and intravoxel coherent motion (flow) as:

$$\frac{\partial \vec{\mathbf{M}}}{\partial t} = \gamma \vec{\mathbf{M}} \times \vec{\mathbf{B}} - \begin{pmatrix} \frac{1}{T_2} & 0 & 0\\ 0 & \frac{1}{T_2} & 0\\ 0 & 0 & \frac{1}{T_1} \end{pmatrix} \vec{\mathbf{M}} + \vec{\mathbf{M}}_0 \begin{pmatrix} 0\\ 0\\ \frac{1}{T_1} \end{pmatrix} - \nabla \cdot \vec{\mathbf{v}} |\vec{\mathbf{M}}| + \nabla \cdot (D\nabla \vec{\mathbf{M}})$$
$$\begin{pmatrix} \nabla \nabla \vec{\mathbf{M}} \\ \nabla \nabla \vec{\mathbf{M}} \end{pmatrix} = \nabla \cdot \vec{\mathbf{v}} |\vec{\mathbf{M}}| + \nabla \cdot (D\nabla \vec{\mathbf{M}})$$

 Ignoring the effects of TI, T2, and Flow, and assuming diffusivity is uniform through the medium (in a voxel), we can simplify to:

$$\frac{\partial \mathbf{\tilde{M}}(\mathbf{\tilde{r}},t)}{\partial t} = \gamma \mathbf{\tilde{M}}(\mathbf{\tilde{r}},t) \times \mathbf{\tilde{B}} + D\nabla^{2} \mathbf{\tilde{M}}(\mathbf{\tilde{r}},t)$$
Transverse Relaxation



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The Bloch-Torrey Equations

• If we apply a uniform gradient $\vec{\mathbf{B}}(\vec{\mathbf{r}},t) = \vec{\mathbf{G}}(t) \cdot \vec{\mathbf{r}}\hat{z}$ into this equation:

$$\frac{\partial \mathbf{\bar{M}}(\mathbf{\bar{r}},t)}{\partial t} = -j\gamma (\mathbf{\bar{r}} \cdot \mathbf{\bar{G}}) \mathbf{\bar{M}}(\mathbf{\bar{r}},t) + D\nabla^2 \mathbf{\bar{M}}(\mathbf{\bar{r}},t)$$

• The solution to this equation is:

$$-D\gamma^{2}\int_{0}^{t}\left[\left(\int_{0}^{t''}(\bar{\mathbf{G}}(t'))dt'\right)^{2}\right]dt''$$
$$\bar{\mathbf{M}}(\bar{\mathbf{r}},t) = M_{0}(\bar{\mathbf{r}})e^{-D\gamma^{2}\int_{0}^{t}\left[\left(\int_{0}^{t''}(\bar{\mathbf{G}}(t'))dt'\right)^{2}\right]dt'''$$

• which can also be expressed as

$$\vec{\mathbf{M}}(\vec{\mathbf{r}},t) = M_0(\vec{\mathbf{r}})e^{-D\int_0^t \mathbf{k}(t')\cdot\mathbf{k}(t')dt'}$$
$$\mathbf{k}(t) = \gamma \int_0^t \vec{\mathbf{G}}(t')dt'$$



The Bloch-Torrey Equations

• Or

$$\vec{\mathbf{M}}(\vec{\mathbf{r}},t) = M_0(\vec{\mathbf{r}})e^{-bD}$$
$$b = \int_0^t \mathbf{k}(t') \cdot \mathbf{k}(t')dt'$$
$$\mathbf{k}(t) = \gamma \int_0^t \vec{\mathbf{G}}(t')dt'$$



• For the **Gradient Echo** NMR experiment at echo time TE:

$$\bar{\mathbf{M}}(TE) = \bar{\mathbf{M}}_{0}e^{-D\cdot\gamma^{2}\cdot\int_{0}^{TE}\left[\int_{0}^{t}\bar{\mathbf{G}}(t')dt'\right]^{2}}dt$$

• For the **Pulsed Gradient Spin Echo (PGSE)** NMR experiment at echo time TE:

$$\vec{\mathbf{M}}(TE) = \vec{\mathbf{M}}_{0}e^{-D\cdot\gamma^{2}\cdot\left[\int_{0}^{TE}\int_{0}^{2}\left(\int_{0}^{t}\vec{\mathbf{G}}(t')dt'\right)^{2}dt-4\cdot\int_{0}^{TE}\int_{0}^{t}\left(\int_{0}^{t}\vec{\mathbf{G}}(t')dt'\right)dt\cdot\int_{TE}\int_{0}^{TE}\left(\int_{0}^{t}\vec{\mathbf{G}}(t')dt'\right)dt+4\int_{TE}\int_{0}^{TE}\left(\int_{0}^{t}\vec{\mathbf{G}}(t')dt'\right)^{2}dt\right]}$$



• Bipolar Gradients with Gradient Echo





• Constant Gradient Spin Echo





• Constant Gradient Multiple Spin Echo

$$b = \frac{\gamma^2 G_x^2 \delta^3}{3n^2}$$





• PGSE + Constant Gradient Spin Echo (From Stejskal & Tanner, 1965):

$$b = \gamma^2 \left\{ \frac{2}{3} \tau^3 g_{0-x}^2 + \delta^2 \left(\Delta - \frac{1}{3} \delta \right) g_x^2 + \delta \left[\left(t_1^2 + t_2^2 \right) + \delta \left(t_1 + t_2 \right) + \frac{2}{3} \delta^2 - 2\tau^2 \right] g_x g_{0-x} \right\}$$





• PGSE-Trapezoidal Approximation

$$b = \gamma^2 G_x^2 \left[\delta^2 \left(\Delta - \frac{\delta}{3} \right) + \frac{\varepsilon^3}{30} - \frac{\delta \cdot \varepsilon^2}{6} \right]$$





• PGSE using STEAM (stimulated echo acquisition mode)

Advantage of long mixing time with little T2 decay because magnetization is stored in the longitudinal orientation



• Oscillating Gradient Spin Echo (OGSE)





• Effects of Echoplanar Readout (Mattiello J, Basser PJ, Le Bihan D, Magn Reson Med 1997, 37: 292-300)





Assumption of No Boundaries

- Recall we assumed no boundaries to diffusion so $P(\vec{\mathbf{r}} | \vec{\mathbf{r}}', t) \rightarrow 0$ as $\vec{\mathbf{r}}' \rightarrow \infty$
- Resulting in

$$P(\vec{\mathbf{r}} \mid \vec{\mathbf{r}}', t) = \left(\frac{1}{\sqrt{4\pi Dt}}\right)^3 e^{-\frac{(\vec{\mathbf{r}}' - \vec{\mathbf{r}})^2}{4Dt}} \quad \text{Gaussian Distribution}$$

• In most conventional NMR experiments, the diffusion time t (analogous to $\sim\Delta$ - $\delta/3$ in PGSE) is around 15-30 <u>ms</u>, meaning for a diffusion coefficient of 3×10^{-3} mm²/s (approx free water) results in a mean (1D) displacement of

$$dx = \sqrt{2 \cdot D \cdot \Delta} = 212 \,\mu m - 300 \,\mu m$$

- Cells in the body vary from $\sim 4\mu m$ to $100\mu m$ in diameter
- This means our assumption of "no boundaries" or "free diffusion" is invalid!


Assumption of No Boundaries

 The measured diffusivity is therefore termed the Apparent Diffusion Coefficient (ADC) because we cannot accurately measure diffusivity using conventional MRI systems

$\vec{\mathbf{M}}(\vec{\mathbf{r}},t) = M_0(\vec{\mathbf{r}})e^{-b\cdot ADC}$



Factors that Influence Diffusion Measurements

- Diffusion Time, $\tau \sim \Delta \delta/3$:
 - The diffusion time (mixing time) determines the sensitivity to compartment size

$$\langle dx \rangle = \sqrt{2D\Delta}$$

- Compartment Size:
 - If compartment size < diffusion compartment sensitivity then we observe an ADC (vs. D)

$$ADC = \frac{\langle dx \rangle^2}{2\Delta}$$

• Tortuosity of the diffusion compartment:





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Factors that Influence Diffusion Measurements





Simple Diffusion NMR Simulations

- We will revisit the "unrestricted diffusion" assumption again later (q-space, kurtosis imaging, multiexponential diffusion, stretched exponential diffusion, double pulsed gradient, diffusion spectral imaging, and advanced applications)
- However, we can use the signal equation to simulate spins in different environments and estimate the resulting signal (assuming $\delta <<\Delta$ PGSE)

$$S(TE,TR,\Delta) = A \cdot \rho \cdot e^{-TE/T_2} \cdot \left(1 - e^{-TR/T_1}\right) \cdot \sum_{n=1}^N e^{-j \cdot \phi_n}$$

• First, let's introduce the "Random Walk"

Phase accumulated for the nth spin



- Let's assume the ID case first for simplicity
- A spin at a given position x is said to jump to a new spatial position $x + \varepsilon_i \delta$ every T_d seconds
- Here, δ represents a very small positional change and ϵ_i is a random number with values ± 1
- During application of a linear gradient $dB/dx = G_x$ in the x-direction, the external magnetic field a spin experiences after the j^{th} time step is

$$B(j \cdot \tau_d) = B(0) + G \cdot \delta \sum_{i=1}^{j} \varepsilon_i$$

• The *deviation* in the magnetic field at time $t = j \cdot \tau_d$ with respect to the initial position at t = 0 is:

$$\Delta B(j \cdot \tau_d) = B(j \cdot \tau_d) - B(0)$$

• And thus the phase accumulated at time $t = j \cdot \tau_d$ is:

$$\phi(j \cdot \tau_d) = -\gamma \cdot \tau_d \sum_{j=1}^N \Delta B(j \cdot \tau_d) \qquad \phi(j \cdot \tau_d) = -G \cdot \delta \cdot \gamma \cdot \tau_d \sum_{j=1}^N \sum_{i=1}^j \varepsilon_i$$

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• Using the PGSE approximation ($\delta < < \Delta$), the resulting NMR signal is

$$S(TE,TR,\Delta) = A \cdot \rho \cdot e^{-TE/T_2} \cdot \left(1 - e^{-TR/T_1}\right) \cdot \sum_{n=1}^N e^{-j \cdot \phi_n}$$

$$\phi_n = \gamma \left(\int_0^{\delta} \vec{\mathbf{G}}(t) \cdot \vec{\mathbf{r}}_1(t) dt - \int_{\Delta}^{\Delta + \delta} \vec{\mathbf{G}}(t) \cdot \vec{\mathbf{r}}_2(t) dt \right)$$





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- Can use this equation to simulate biophysical environments
 - Boundaries, permeable membranes, etc.
 - Can make these simulations very complicated (different gradient shapes, model T1/T2, flow, etc.)





Assumption of Isotropic Diffusion

- Until now, we have assumed that diffusivity is uniform and have only measured diffusivity in 1 direction
- If diffusion is anisotropic (i.e. unequal in all directions) we may over/under estimate the diffusion coefficient if we measure only a single direction
- In Diffusion Tensor Imaging (DTI) we make ID diffusion measurements in multiple directions, then construct the mathematical 3x3 tensor field that describes the magnitude <u>and</u> direction of spin self-diffusion





 The traditional diffusion tensor is constructed from 6 independent diffusion sensitizing directions, and a single b = 0 image

• The diffusion coefficients for each of these 6 directions are calculated by

$$\vec{Y} = \begin{bmatrix} D_1 \\ D_2 \\ \vdots \\ D_6 \end{bmatrix} = \begin{bmatrix} -\frac{1}{b} \ln\left(\frac{S_1}{S_0}\right) & -\frac{1}{b} \ln\left(\frac{S_2}{S_0}\right) & \cdots & -\frac{1}{b} \ln\left(\frac{S_6}{S_0}\right) \end{bmatrix}^T$$

where D_i are the diffusion coefficients, S_i are the MR signal after the ith diffusion direction was applied, b is the b-factor, and S₀ is the MR signal with no diffusion weighting.



• The measured diffusion coefficients can be written as:

$$D_{i} = g_{xi}^{2} D_{xx} + g_{yi}^{2} D_{yy} + g_{zi}^{2} D_{zz} + 2g_{xi}g_{yi} D_{xy} + 2g_{xi}g_{zi} D_{xz} + 2g_{yi}g_{zi} D_{yz}$$

• where gxi represents the normalized gradient vector direction in the xdirection for the i^{th} diffusion direction, and D_{xx} , D_{yy} , D_{zz} , D_{xy} , D_{xz} , and D_{yz} are the diffusion tensor components

$$\vec{D} = \begin{bmatrix} D_{xx} & D_{yy} & D_{zz} & D_{xy} & D_{xz} & D_{yz} \end{bmatrix}^{T}$$

• The matrix solution to the diffusion tensor can be written as:

$$\vec{D} = \vec{H}^{-1}\vec{Y}$$

where

$$\vec{H} = \begin{bmatrix} g_{x1}^2 & g_{y1}^2 & g_{z1}^2 & 2g_{x1}g_{y1} & 2g_{x1}g_{z1} & 2g_{y1}g_{z1} \\ g_{x2}^2 & g_{y2}^2 & g_{z2}^2 & 2g_{x2}g_{y2} & 2g_{x2}g_{z2} & 2g_{y2}g_{z2} \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ g_{x6}^2 & g_{y6}^2 & g_{z6}^2 & 2g_{x6}g_{y6} & 2g_{x6}g_{z6} & 2g_{y6}g_{z6} \end{bmatrix}$$

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• For > 6 directions, least-squares regression (or some other type of fitting algorithm) must be used to find the solution

$$\vec{D} = \vec{H}^{-1}\vec{Y}$$

$$\vec{Y} = \begin{bmatrix} D_1 \\ D_2 \\ \vdots \\ D_n \end{bmatrix} = \begin{bmatrix} -\frac{1}{b} \ln\left(\frac{S_1}{S_0}\right) & -\frac{1}{b} \ln\left(\frac{S_2}{S_0}\right) & \cdots & -\frac{1}{b} \ln\left(\frac{S_n}{S_0}\right) \end{bmatrix}^T$$

$$\vec{H} = \begin{bmatrix} g_{x1}^2 & g_{y1}^2 & g_{z1}^2 & 2g_{x1}g_{y1} & 2g_{x1}g_{z1} & 2g_{y1}g_{z1} \\ g_{x2}^2 & g_{y2}^2 & g_{z2}^2 & 2g_{x2}g_{y2} & 2g_{x2}g_{z2} & 2g_{y2}g_{z2} \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ g_{xn}^2 & g_{yn}^2 & g_{zn}^2 & 2g_{xn}g_{yn} & 2g_{xn}g_{zn} & 2g_{yn}g_{zn} \end{bmatrix}$$



 $\vec{D} = \begin{bmatrix} D_{xx} & D_{yy} & D_{zz} & D_{xy} & D_{xz} \end{bmatrix}'$ Reorganize $\vec{D} = \begin{bmatrix} D_{xx} & D_{xy} & D_{xz} \\ D_{yx} & D_{yy} & D_{yz} \\ D_{zx} & D_{zy} & D_{zz} \end{bmatrix}$ Symmetry Solve Eigenvalue Equation $\left(\vec{D} - \lambda \vec{I}\right)\vec{v} = 0$ nvalues: 3 Eige 3 Eigenvalues: 3 Eigenvectors: Diffusion magnitude in Diffusion direction with respect to orthogonal directions original coordinate system

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The Self-Diffusion Tensor Ellipsoid Model

The 3x3 diffusion tensor equation = conic section equation for an ellipsoid:

$$\begin{split} D_{i} &= g_{xi}^{2} D_{xx} + g_{yi}^{2} D_{yy} + g_{zi}^{2} D_{zz} + 2g_{xi} g_{yi} D_{xy} + 2g_{xi} g_{zi} D_{xz} + 2g_{yi} g_{zi} D_{yz} \\ &\left(\frac{x'}{\sqrt{2\lambda_{1}\tau}}\right)^{2} + \left(\frac{y'}{\sqrt{2\lambda_{2}\tau}}\right)^{2} + \left(\frac{z'}{\sqrt{2\lambda_{3}\tau}}\right)^{2} = 1 \end{split}$$



From: Ellingson, Concepts in MR, 2008



- Diffusion Tensor "Stains"
 - Mean Diffusivity (Trace ADC)

$$MD = \lambda' = \frac{\lambda_1 + \lambda_2 + \lambda_3}{3}$$



• Fractional Anisotropy (FA)

$$FA = \sqrt{\frac{3\left[\left(\lambda_{1} - \lambda'\right)^{2} + \left(\lambda_{2} - \lambda'\right)^{2} + \left(\lambda_{3} - \lambda'\right)^{2}\right]}{2\left(\lambda_{1}^{2} + \lambda_{2}^{2} + \lambda_{3}^{2}\right)}}$$





• We will revisit DTI later in "Applications" Lecture



Monoexponential Diffusion

• Recall we assumed no boundaries to diffusion so:

$$P(\vec{\mathbf{r}} \,|\, \vec{\mathbf{r}}, t) \to 0 \text{ as } \vec{\mathbf{r}} \,' \to \infty$$
$$P(\vec{\mathbf{r}} \,|\, -\vec{\mathbf{r}} \,=\, 0, t) \to 0 \text{ as } t \to \infty$$

• Resulting in

$$P(\mathbf{\vec{r}} \mid \mathbf{\vec{r}}, t) = \left(\frac{1}{\sqrt{4\pi Dt}}\right)^3 e^{-\frac{(\mathbf{\vec{r}} - \mathbf{\vec{r}})^2}{4Dt}} \quad \text{Gaussian Distribution}$$

• Since we can only measure diffusion in a single direction at one time,

$$P(z,t) = \left(\frac{1}{\sqrt{4\pi Dt}}\right) e^{-\frac{z^2}{4Dt}}$$

• This approximation during a bipolar motion probing gradient:

$$\frac{S}{S_0} = e^{-(\gamma G_z \delta)^2 D\Delta} = e^{-bD}$$



Monoexponential Diffusion

• We see that the assumption of a single, nonrestricted compartment results in a single exponential

$$\frac{S}{S_0} = e^{-(\gamma G_z \delta)^2 D\Delta} = e^{-bD}$$

- Not only does this assume that diffusion is *not restricted*, but it also assumes a <u>single compartment</u> (single diffusion coefficient "D")
- Early investigations have shown that water diffusion in the brain is <u>not</u> monoexponential (at high b-values)





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Biexponential Diffusion

- This lead to development of a biexponential diffusion model (sum of two Gaussians)
- Still doesn't deal with the assumption of non-restricted diffusion
- Hypothesized to be biologically relevant (intracellular, slow vs. extracellular, fast)

$$\frac{S(b)}{S_0} = A_1 e^{-b \cdot D_{fast}} + A_2 e^{-b \cdot D_{slow}}$$







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- Let's examine this model in more detail:
- For the PGSE experiment, diffusion time $\tau = \Delta \delta/3$
- Brain tissue is composed (primarily) of intracellular and extracellular subregions, partitioned by *semipermeable* cell membranes (vascular space is < 5% total brain volume)
- The exchange between intracellular and extracellular water taking place during the diffusion time τ must be considered with respect to the mean lifetime $\tau_{in(ex)}$ of water molecules in both environments





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• In the short diffusion time limit:

 Diffusion time τ is negligibly short in comparison to the mean life time in either compartment

 $\tau \ll au_{in(ex)}$

- The system will behave as two <u>distinct</u> nonexchanging compartments
- Echo attenuation is the linear superposition of two monoexponential functions



• In the long diffusion time limit:

 Diffusion time T is very long in comparison to the mean life time in either compartment

 $\tau \gg au_{in(ex)}$

- The system will behave as <u>one</u> perfectly mixed (completely exchanged) compartment
- Echo attenuation is monoexponential





Intermediate diffusion time limit:

- Analytic derivation in Kärger et al. (Adv Magn Reson, 1988)
- Fits "apparent" biexponential parameters

$$\frac{S(b)}{S_0} = f'_{in} e^{-bD'_{in}} + f'_{ex} e^{-bD'_{ex}}$$





Intermediate diffusion time limit:

- Analytic derivation in Kärger et al. (Adv Magn Reson, 1988)
- Fits "apparent" biexponential parameters





• Intermediate diffusion time limit:

• Analytic derivation in Kärger et al. (Adv Magn Reson, 1988)

$$f'_{ex} = 1 - f'_{in}$$

$$f'_{in} = \frac{1}{D'_{in} - D'_{ex}} (f_{ex} D_{ex} + f_{in} D_{in} - D'_{ex})$$

- Biologically (in the brain) $f_{in} \approx 0.8$ and $f_{ex} \approx 0.2$
- $D_{in} < D_{ex}$ due to higher protein concentrations and viscosity in cytosol
- <u>Decrease</u> in τ will <u>increase</u> deviation from monoexponential





- Although this model is appealing, careful studies have shown the volume fractions calculated are actually flipped from expected (Mulkern NMR Biomed, 1999; Clark CA, Magn Reson Med, 2000)
- Tissue is more complex than two compartments (we haven't discussed the potential contributions of permeability of the "semi" permeable membrane)
- The result of these findings is that these biexponential diffusion coefficeints are no longer referred to as "intra" and "extra" cellular diffusion coefficients
- Instead, they are "fast" and "slow" diffusion coefficients and "fast" and "slow" diffusion compartments

$$\frac{S(b)}{S_0} = f \cdot e^{-b \cdot D_{fast}} + (1 - f)e^{-b \cdot D_{slow}}$$



Stretched (continuous) Exponential Model

- The experiments by Mulkern and Clark in 1999-2000 resulted in a new exploration of diffusion models for explaining the non-monoexponential diffusion behavior
- In brain tumors, the environment is highly heterogeneous (cell sizes, shapes, tortuosity of EC space, edema, changes in extracellular matrix)
- Need a model that is flexible and can give a measure of compartment <u>heterogeneity</u>
- The Kohlrausch-Williams-Watts model (stretched-exponential model) has been used in many areas of NMR (e.g. multiple compartments with different T2)



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Stretched (continuous) Exponential Model

• Sensitivity of the diffusion signal due to changes in α





Bennett, MRM, 2003 M284: Principles of Neuroimaging

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Stretched (continuous) Exponential Model

Normal values in (rat) brain





Bennett, MRM, 2003

Diffusion Kurtosis Imaging (DKI)

- Until now, we have assumed diffusion is non-restricted = Gaussian PDF
- If diffusion time is long compared to compartment size, the boundaries/ compartments can cause diffusion water molecules to "reflect" or "scatter"
- This results in deviation from Gaussian PDF and "kurtosis" in the PDF arises





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Diffusion Kurtosis Imaging (DKI)

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- For the ID DWI experiment, Kurtosis can be found by:



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b = 0

- Previously, we have seen that NMR provides a mechanism for molecular labeling via the characteristic Larmor frequencies of the component nuclei
- This label is the phase of the transverse magnetization, a concept that is at the heart of MRI
- Diffusion MRI is performed by first providing a spatial label to nuclei at one instant of time, then checking that labeling at a later time to see if it moved.
- If we could measure the label shift on the individual nuclei, then we can deduce the motion
- The measurement of microscopic (translational) motion, therefore, is performed by measuring phase differences
- For this the spin echo is ideally suited



- In q-space imaging, we will be perturbing the diffusion sensitizing gradient amplitude in order to study the <u>reflection</u> of spins off boundaries
- Assume a homogeneous medium (voxel, single diffusion coefficient)
- Use the assumption/PGSE sequence w/ $\delta \ll \Delta$
- Recall that the NMR signal will depend on the <u>probability density function</u> of spin displacements and the NMR "encoding"

$$\frac{S_{\Delta}(\mathbf{\bar{G}})}{S_{0}} = E_{\Delta}(\mathbf{\bar{G}}) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} P(\mathbf{\bar{r}} \mid \mathbf{\bar{r}}, \Delta) \cdot e^{j\gamma \delta \cdot \mathbf{\bar{G}} \cdot (\mathbf{\bar{r}} \mid -\mathbf{\bar{r}})} d\mathbf{\bar{r}} \mid d\mathbf{\bar{r}}$$

• **Big Picture:** 1) Keep diffusion time Δ large enough to bounce off boundaries, 2) Use the gradient $\uparrow G$ to $\uparrow \varphi$ to probe smaller and smaller compartments using phase, 3) average signal represents the "ensemble" behavior of spins restricted in the compartment



• We see that from this equation that we can use the gradient **G** to probe a range of phase distributions for a fixed diffusion time, Δ

$$\frac{S_{\Delta}(\mathbf{\bar{G}})}{S_{0}} = E_{\Delta}(\mathbf{\bar{G}}) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} P(\mathbf{\bar{r}} \mid \mathbf{\bar{r}}, \Delta) \cdot e^{j\gamma\delta \cdot \mathbf{\bar{G}} \cdot (\mathbf{\bar{r}} \mid -\mathbf{\bar{r}})} d\mathbf{\bar{r}} \mid d\mathbf{\bar{r}}$$

If we substitute a new "reciprocal space vector" or "diffusion wave vector"
 q defined as

$$\mathbf{\bar{q}} = \gamma \delta \mathbf{\bar{G}}$$

$$\vec{\mathbf{R}} = \vec{\mathbf{r}}' - \vec{\mathbf{r}}$$

- And substitute the distance vector
- The signal equation becomes

$$E_{\Delta}(\mathbf{\bar{q}}) = \int_{-\infty}^{\infty} P(\mathbf{\bar{R}}, \Delta) e^{j\mathbf{\bar{q}}\cdot\mathbf{\bar{R}}} d\mathbf{\bar{R}}$$

• From inspection, we can see that if we collect the signal amplitude as we increase the gradient amplitude ("sample q-space") we can use the Fourier transform to recover the PDF for a certain diffusion time Δ

$$E_{\Delta}(\mathbf{\bar{q}}) = \int_{-\infty}^{\infty} P(\mathbf{\bar{R}}, \Delta) e^{j\mathbf{\bar{q}}\cdot\mathbf{\bar{R}}} d\mathbf{\bar{R}} \qquad \mathbf{\bar{q}} = \gamma \delta \mathbf{\bar{G}}$$

- Until now we have assumed a diffusion coefficient, D that is fast enough to cause spins to "reflect" off the boundaries at least as large as a in diameter in diffusion time Δ
- This results in the necessary condition

$$\Delta \gg \frac{a^2}{2D}$$







qa



Callaghan, J Magn Reson A, 1995

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Coy A, Callaghan, J Chem Phys, 1994

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Coy A, Callaghan, J Chem Phys, 1994

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Cohen, NMR Biomed, 2002





Cory, Magn Reson Med, 1990





Assaf, Magn Reson Med, 2002





Cohen, NMR Biomed, 2002 Assaf, Magn Reson Med, 2002





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- Until now, we have considered q-space imaging in ID
- If we expand to 3D, this is known as q-ball imaging

$$P(\mathbf{\bar{R}},\Delta) = \Im\{E_{\Delta}(\mathbf{\bar{q}})\}$$

- The goal is to determine the *orientation distribution function ODF* which tells us information about the orientation of microstructures
- For Q-Ball imaging, we set |q| (sensitive to the same compartment size), but vary the gradient orientation (unit vector **u**).
- If we assume a Gaussian PDF, we can deduce the compartment size P(qu)

$$\psi(\mathbf{\bar{u}}) = \frac{1}{Z} \int_{0}^{\infty} P(q\mathbf{\bar{u}}) dq$$

Cohen, NMR Biomed, 2002



- Until now, we have considered q-space imaging in ID
- If we expand to 3D, this is known as q-ball imaging

$$P(\mathbf{\bar{R}},\Delta) = \Im\{E_{\Delta}(\mathbf{\bar{q}})\}$$

• The goal is to determine the *orientation distribution function ODF* which tells us information about the orientation of microstructures





• The direction of largest (preferential) diffusivity will be perpendicular to the orientation of highest signal amplitude with fixed *q*



Wedeen, Magn Reson Med, 2005 B.M. Ellingson, Ph.D., Dept. of Radiological Sciences, David Geffen School of Medicine, 2014







Tuch, Magn Reson Med, 2004





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Tuch, Magn Reson Med, 2004



Diffusion Spectral Imaging

- It is possible to construct a large "grid" with q-values, sampling q-space in 3D
- The PDF can be reconstructed by Fourier transform as:

$$P(\mathbf{\bar{R}},\Delta) = \frac{1}{(2\pi)^3} \int_{\Re^3} |E_{\Delta}(\mathbf{\bar{q}})| e^{-j\mathbf{\bar{q}}\cdot\mathbf{\bar{R}}} d^3\mathbf{\bar{q}}$$

• We can then resample this PDF in cylindrical coordinates to find ODF

$$\boldsymbol{\psi}(\mathbf{\bar{u}}) = \int_{\Re^+} P_{\Delta}(r\mathbf{\bar{u}})r^2 dr$$





Wedeen, Magn Reson Med, 2005

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Diffusion Spectral Imaging

$$P(\mathbf{\bar{R}}, \Delta) = \frac{1}{(2\pi)^3} \int_{\Re^3} |E_{\Delta}(\mathbf{\bar{q}})| e^{-j\mathbf{\bar{q}}\cdot\mathbf{\bar{R}}} d^3 \mathbf{\bar{q}}$$
$$\psi(\mathbf{\bar{u}}) = \int_{\Re^+} P_{\Delta}(r\mathbf{\bar{u}}) r^2 dr$$
Human Connectome Project





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Diffusion Spectral Imaging $P(\mathbf{\bar{R}}, \Delta) = \frac{1}{(2\pi)^3} \int_{\Re^3} |E_{\Delta}(\mathbf{\bar{q}})| e^{-j\mathbf{\bar{q}}\cdot\mathbf{\bar{R}}} d^3\mathbf{\bar{q}}$ $\psi(\mathbf{\bar{u}}) = \int_{\Re^+} P_{\Delta}(r\mathbf{\bar{u}})r^2 dr$ Human Connectome Project





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Next Lecture...

- Tractography (Deterministic & Probabilistic)
- Applications
 - Neuro-Oncology
 - Neurotrauma
 - Alzheimer's Disease
 - Parkinson's Disease
 - Epilepsy
 - Chronic Pain



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